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1	THE DISTRICT OFFICE DIGENTAL COLLDS
1	IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS
2	TYLER DIVISION
3	MASS ENGINEERED DESIGN, INC.)
4	DOCKET NO. 6:14cv411 -vs-) LEAD CASE
5) Tyler, Texas
6	SPACECO BUSINESS SOLUTIONS, 9:14 a.m. INC., ET AL) April 28, 2015
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8	TRANSCRIPT OF MARKMAN HEARING
9	BEFORE THE HONORABLE K. NICOLE MITCHELL, UNITED STATES MAGISTRATE JUDGE
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11	<u>APPEARANCES</u>
12	
13	(SEE SIGN-IN SHEETS DOCKETED IN THE MINUTES OF THE HEARING.)
14	PRESENTERS
15	FOR THE PLAINTIFFS:
16	MR. JOHN EDMONDS MR. STEVE SCHLATHER
17	
18	FOR THE DEFENDANTS:
19	MR. DAN MOUNT MR. CARL HJORT
20	MR. GENE CHERNG
21	
22	COURT REPORTER: MS. SHEA SLOAN shea_sloan@txed.uscourts.gov
23	silea_siloali@cxed.uscourcs.gov
24	Drogoodings taken by Mashina Stanatura, turns with the
25	Proceedings taken by Machine Stenotype; transcript was produced by a Computer.

PROCEEDINGS 1 2 THE COURT: Good morning. Please be seated. 3 Ms. Hardwick, if you call the case, please. THE CLERK: Court calls Civil Action 6:14cv411, 4 5 Mass Engineered Design, Inc. v. SpaceCo Business Solutions, 6 Inc. and Planar Systems, Inc. 7 THE COURT: Announcements? MR. SPANGLER: Good morning, Your Honor. Andrew 8 9 Spangler on behalf of the plaintiff. With me today is 10 Mr. John Edmonds, Steve Schlather. And with us today are our 11 clients Mr. Allan Tameshtit and Jerry Moscovitch. 12 THE COURT: Good morning. 13 MR. EDMONDS: Good morning, Your Honor. 14 THE COURT: All right. 15 MR. SPANGLER: We are ready, Your Honor. MR. STEVENS: Good morning, Your Honor. Scott 16 17 Stevens here for SpaceCo. And with me is Carl Hjort. 18 THE COURT: Good morning. 19 MR. THAMES: Good morning, Your Honor. Glenn 20 Thames for Planar Systems. With me is Mr. Dan Mount and Gene 21 Cherna. 22 THE COURT: Good morning. 23 All right. Well, we are here for the Markman 24 Hearing, so to kind of give you the lay of -- the plan for

how I do things, I'd like to give each side time to make a

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brief opening statement, if you need to tell me anything about the technology or a central dispute or anything like that, five minutes or less. Then we will go term by term.

I'll let the plaintiffs choose the first term and argue it first, and then the defendants will choose the first term and argue it first. And we will just kind of ping-pong that way until we get through all of the terms, unless the parties have agreed on another order, which I am also fine with.

So with that, I will recognize the plaintiff for your opening statement.

MR. EDMONDS: Good morning, Your Honor, John Edmonds for the plaintiff, Mass Engineered.

There are a number of terms at issue. I think the -- aside, obviously, from the patents and the intrinsic evidence and the declaration of Mr. Moscovitch, who is here today if needed, I think our primary reference for the Court is Judge Davis's prior Markman opinion, which is Exhibit 6 to plaintiff's brief. Of course, it is also in the slides as well.

A lot of this work was already done by Judge Davis; and, in fact, he has already ruled on several of the main issues -- or some of the main issues in dispute. So that is certainly something that we will be referring back to in terms of where we believe Judge Davis got it right and the

reasons for that.

In terms of kind of framing the major disputes for the Court, I would frame them as -- in the means-plus-function terms, there are some various disputes there.

I would say that the main dispute is a defendant's effort to import limiting structures into these, and the -- as the Court knows, the structures that correspond to the function are the structures that are clearly linked to the function and correspond to the function. And the ones that you are going to be hearing about today are -- for some of the terms, they are trying to import projections and slots.

That is something that Judge Davis has explicitly rejected in his prior Markman opinion for good reasons, and we can go back over them today.

Then they are also trying to import tabs and stops. I think the thing for Court to remember on those is that in both of those instances for those claim functions, if somebody -- if we remove the projections and if we filled in the slots or remove them, or if we remove the stops and mill down the tabs -- or excuse me, mill down the tabs and remove the stops, all of those functions will be formed by the structures that Mass Engineered has.

So those are main issues there for the

means-plus-function claims.

I think the other main issue that kind of -- that pervades a few terms is this issue of extend and extending.

And I think the thing for the Court, and we will get into it more in terms of the word "extend," a peninsula can extend from a shore. It doesn't require movement on its part.

In terms of extending, I think you will see from the specification that there are two ways that extending is taught, depending on the patent. We are talking about the '103 now. There is telescoping and then there is also hinging. We will get into those as well.

You will also see that in the '978 patent, extends is referred to in many locations that don't involve telescoping.

I think the main issue is, is something that the defendants in the Ergotron case tried to do and Judge Davis rejected, was try to limit it to a telescoping embodiment.

And that is essentially what the defendants are trying to do with those, and we are pointing out why that would be incorrect. I think those are the main issues for the Court today.

THE COURT: Okay. Thank you.

Response?

MR. MOUNT: Good morning, Your Honor. Dan Mount appearing for Planar.

In some ways we agree. I think there are two -- from our perspective there are two thematic problems you have to address.

In the 112(6), 112(f) category we think that a careful examination of the recited function is going to be required to help us understand what structure is and what structure is not in the claims.

I think that that -- a detailed look at that will help us get to the right conclusions.

Also, the -- we think -- we do take some exception with the prior order rendered in this district, but we think that a more careful look at the case law and more careful look at the recited function will help to get to a more precise and careful solution.

There can be subordinate 112(6) claims. There can be 112(6) claims within 112(6) claims. And we think we will be prepared to walk through those.

The second major question has to do with the arm, at least from Planar's perspective, we believe that the Leveridge reference is important, and the steps taken to get away from the Leveridge of dual arms structure will pervade some of the claim interpretation issues.

So we will actually will break it out. I was going to talk about the 112(6) issues. They have to be addressed on a claim-by-claim basis.

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Mr. Cherng was going to address the claims that address arm and arm structure because of its closeness with that Leveridge reference. THE COURT: Which is fine. All right. Plaintiffs, what will be the first term? MR. SCHLATHER: Good morning, Your Honor. Schlather for the plaintiff, Mass. We will just start with the first term that we have in our briefing, which is the -- I guess it is kind of three terms; but the parties have agreed to construe them together to at least some extent. It is "arm," "support arm," and "arm assembly." And, hopefully, we can get off to an easy start with these. I think the parties have essentially agreed on the construction for at least the '978 patent and the '103 patent. Well, let me take a step back. Judge Davis in the Ergotron case --Can you go to the next slide, Shea? -- previously construed the term "arm assembly" as: A structure having one or more constituent parts connected to and projecting from the support means. I think here the parties have agreed that that construction is correct with regard to the '978 patent.

So if we go to the next slide.

You can see here that essentially the parties have agreed with regard to the '103 patent that the proper construction is: A structure having one or more constituent parts connected to or projecting from the column. And the only difference here is column versus support means. The '103 patent uses the term "column" versus the '978 which uses "support means."

So which regard, again, to the '978 and '103, I think the parties are in substantive agreement. The defendants have proposed splitting this up into two separate constructions; whereas, Mass has proposed putting it into one with designations for which terms support means applying to the '978 and column applying to the '103.

With regard to the '331 patent there is some -- it is unclear what the defendant's position is. Mass's position is that this term should be construed the same as for the '103.

The defendants have rolled their construction into some separate terms, which I am happy to address now. They were addressed separately in the briefing, but I can address them now --

THE COURT: Yes, go ahead.

MR. SCHLATHER: -- as well.

Shea, can you go to Slide 39?

So here we have the -- in the '331 patent it talks -- the claim language talks about a support arm structure having a single piece support arm in Claim 1. And in Claim 9 the support arm structure having a support arm that extends on either side of the column.

Again, Mass's proposed construction is the same as we just saw for the '978 and '103. The defendants have gone a different way and have crafted a construction that requires that the support arm be formed as a single piece.

Next slide.

So Mass's position, to be clear, is that once the support arm that we spoke about earlier and that the parties have agreed on with regard to the '978 and the '103 patent, once that has been construed, these phrases here with regard to the '331 patent having a single piece support arm and having a support arm that extends on either side of the column, have a plain meaning and don't require any further construction beyond what the parties have agreed to for the '978 and the '103.

THE COURT: Getting back, though, to the first part of that construction, I mean, your proposed construction says: Projecting from the support means for '978 or the column for the '103. Would you need to say for the support column for '331? Or is it column for '103 and '331?

MR. SCHLATHER: It is column for '103 and '331.

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THE COURT: Okay. MR. SCHLATHER: So what this -- what -- the dispute here with regard to the '331 patent primarily boils down to defendant's attempt to include the phrase "formed as a single piece." THE COURT: Uh-huh. MR. SCHLATHER: Mass doesn't dispute that the claim language requires a single piece support arm. What it does not require is that that single piece support arm be formed as a single piece. THE COURT: What about their alternative construction: The one integral arm extending on both sides? Are you fine with that one? MR. SCHLATHER: With regard to Claim 1, we would be okay with that. But with regard to Claim 9 of the '331, that claim doesn't require a single piece support arm, and so it would be improper to --THE COURT: I mean, aren't we construing "single piece support arm," and so when that is not in the claim, it would not necessarily -- I mean, wouldn't that only come up when it is in the claim as construed? MR. SCHLATHER: Well, yes, except that with regard to defendant's position, they have proposed construing

support arm also -- their alternative construction is the

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same for support arm as well. THE COURT: Okay. I see. Okay. So you are all right with the alternative construction for Claim 1 because it says single piece but not for Claim 9 because it doesn't require a single piece? MR. SCHLATHER: Correct. THE COURT: Okay. MR. SCHLATHER: Then, again, just circling back. The formed language that defendants want to import is improper, and they relied on -- they relied on a quote in the prosecution history where the patentee argued originally that the arm was formed as a single piece; but later in that -- during prosecution that phrase was specifically removed from the claim language. So, essentially, they are trying to re-import a term that was explicitly removed prior to the claims being allowed. THE COURT: Now, going back to Claim 9, I mean, what the claim says is, it says: A support arm that extends on either side of the support column. I mean, so are you arguing that a support arm can be more than one arm? Or, I mean, do you just need clarification -- can it be a separate arm for each side, I quess is --MR. SCHLATHER: Well, I think it can. But the --

the -- you know, again, that is why Mass has proposed in its

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original construction of "support arm" to be: One or more
constituent parts.
          THE COURT: Okay. So you are saying: A support
arm that extends on either side of the support column can be
more than one?
          MR. SCHLATHER: One or more is what we proposed.
          That is all we have for the first term "arm,"
"support arm," "arm assembly."
          THE COURT: Okay. Thank you.
          Response?
          (Pause in proceedings.)
          MR. CHERNG: I apologize, Your Honor.
          THE COURT: That's okay.
                                   Take your time.
          (Pause in proceedings.)
         MR. CHERNG: Good morning, Your Honor. Gene Cherng
for defendant, Planar.
         And I just kind of would like to start by
addressing the disagreement between the parties over what
appears to be Claim 9 of the '331 patent and construing,
basically, why Planar contends that a single piece support
arm that extends on either side of the column should be
construed the same as a support -- a support arm that extends
on either side of the column. And the main reason for this
is really two-fold.
         First, that the patentee in the specification
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consistently -- well, almost exclusively describes the invention or the present invention in terms of a common arm that supports all of the displays, and so that is the first reason.

The second reason, as Dan alluded to earlier, is that the patentee during the prosecution of the '331 patent, in order to distinguish Leveridge, which was a reference that the Examiner rejected, the patentee, not only added claims containing a single piece limitation but also made arguments and statements that made very clearly -- very clearly disclaimed the scope of the invention that limited it to single piece of arms, regardless of whether the claims require it or not.

And the Federal Circuit is very clear that where there is a clear disclaimer, then that disclaimer effects the -- should be -- I'm sorry. Should be interpreted consistently across all claims. And that instance where there is a clear disclaimer or clear disavowal, then the claim language, the plain meaning of the claims, should not apply.

So, Glenn, can you click down two slides, please?

So as you see here, the characterization of the invention or the present invention or statements or arguments made during the prosecution and, finally, claim differentiation as they argued because -- just the argument

is that Claim 9 recites only a support arm that extends on both sides of the column, while an independent claim, Claim 14 which depends from Claim 9, recites the -- whereas, Claim 9 where the support arm is a single piece, generally, in that instance there would be only a presumption claim for differentiation; but as the Federal Circuit has made claim, where there has been a disavowal then the claim differentiation --

(Reporter asks Mr. Mount to repeat.)

MR. MOUNT: I'm sorry. Claim differentiation and plain meaning of the claim both do not apply.

Okay. So moving on to the specification and describing the present invention, I think you can see there, the specification, as we discussed in the briefing, really only describes the invention in terms of either mounting multiple liquid crystal display screens on a common arm or a common horizontal support arm, a common horizontally disposed arm.

And the strongest statement is the last one: The display system of the present invention provides a convenient, user friendly means for supporting three screens along an elevated, common horizontal support arm.

So in that instance where the patentee has described his invention not only in terms of what is preferable but in terms of what the invention is, then

that -- those statements are limiting upon the scope of the claims.

The next thing is Leveridge. And the Examiner during the prosecution of the '331 patent, rejected the pending claims in light of Leveridge. And the central figure in Leveridge is shown at Figure 2 there with the arms in Leveridge that are highlighted there. There are a pair of cantilever arms that are attached and pivotally attached to a support block attached to the upright stand.

So you see there you have what the Examiner contended was the support arm, support column, and the base.

In response to this, the patentee added new claims reciting an integral, bowed arm. And so we see there from Figure 2, and in contrast -- Figure 2 of the '331 patent on the left in contrast with Leveridge's Figure 2 on the right, you know, the applicant has added new claims, 58 through 61, that recite an integral, bowed arm for supporting a plurality of displays. These are not taught in Leveridge.

This is key here, the distinguishing of Leveridge occurs here. Leveridge does not teach an integral arm, but instead teaches two non-contiguous, substantially linear arms. So that is where the patentee in that instance says that Leveridge doesn't teach what I invented because it has two non-contiguous substantially linear arms.

Go up one more.

Later they added Claim 62 and made -- more or less made this the same argument. In Claim 62, which ultimately -- issued as Claim 1, recites a support arm being formed as a single piece component.

And, again, this next statement: Leveridge does not teach such an arm. In fact, as the Examiner concedes, accepting the Examiner's position here, Leveridge teaches arms 20 and 22 on the support block 46.

So, again, in that instance during the prosecution the patentee very clearly disclaimed multiple arms.

And so -- and then another instance, in the same office action actually, the patentee also disparaged Leveridge. They tried to get further away from Leveridge by saying, well, Leveridge isn't great for this reason. So a cheap angling of the monitors in Leveridge, the arms have to be articulated, increasing the cost of the display system and the likelihood of malfunction as compared to the invention of the instant application.

In particular -- and this is where, again, the patentee makes statements regarding framing the invention -- the instant application teaches a bowed arm for supporting the displays, which allows the screens to be presented in wrap-around fashion without the need of employing two straight arms that articulate, as taught by Leveridge.

So then there the patentee has said, two arms that

articulate, that wasn't the scope of my claims.

But it is not really even two straight arms. In the same office action there, the patentee summarized a telephone interview summary that it had with the Examiner. Even if you replace the straight articulating arms in Leveridge and put two articulating bowed arms, it still wouldn't work because the bowed arms could result in a greater gap between the monitors or interference of the monitors for certain angles of rotation.

So the patentee in prosecuting the '331 patent said not only does my invention not include multiple articulating arms, it does not include multiple articulating straight arms or multiple articulating bowed arms.

And so then this is kind of where, as you can see, Your Honor, where our objection is -- our differences are in the '331 patent and the '103 or '978 patents, why we don't think that support arm should have the same construction as it is used in the '978 patent or the '103 patent.

Mass's proposal is that a support arm is a structure having one or more constituent parts that projects from the support column. But from the prosecution history of the '331 patent, I think that they have clearly disclaimed -- clearly and unmistakably disclaimed the "or more" part, so it really is just a single piece support arm that is -- that the claims are limited to at this point because of the patentee's

statements.

THE COURT: All right. You haven't touched much on the -- and maybe you are just conceding that. That is what I am trying to find out. Your initial proposed construction is the formed as a single piece. And I asked plaintiff if they would be okay with the alternative; and they said, well, yes as to Claim 1.

So where are you on that?

MR. CHERNG: Right. And so, yes, I have seen the plaintiff's briefing; and it seems to me either we might be kind of talking past each other or in agreement.

What I am a little unclear about is in their reply argument regarding, well, you could have two arms that are welded together to form a single piece. And I think what we are trying to get at there is if -- when two arms are welded together, you are just kind of stuck here; just like the single piece arm.

So in terms of -- so long as the arms are not articulating or are non-contiguous or -- in that sense, then, I think, perhaps, there might be agreement. But I would need to -- perhaps, if plaintiff clarifies some more about what it means when -- if it is not for a single piece, what exactly is -- do we mean by single piece?

THE COURT: All right. I think if ultimately it ends up being one piece arm, you would probably be

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comfortable with that. I envision a scenario where there is an arm and it is all molded at one time and it is made and it is formed as one piece, or there is another scenario where there are two pieces and they are joined in a permanent way and they are then one piece, but they weren't made or formed at the same time together. And are you okay with scenario B is really, I think, what I am getting at. MR. CHERNG: Yes. So in scenario B where there you have -- start without two arms and they are joined together permanently such that they cannot be disassembled again into its constituent parts, then I think there would be agreement from Planar to that extent. THE COURT: Okay. Thank you. Response? MR. EDMONDS: May we speak briefly to some of the points? THE COURT: Yes. Yes. MR. EDMONDS: Okay. Thank you, Your Honor. So with respect to the argument that the defendants were making on disclaim -- well, on my invention or the invention, if you will. THE COURT: Uh-huh. MR. EDMONDS: I think the law they cite is generally correct, although not absolute. I think the flaw

in their reasoning is that when they got the cite to the specification they weren't to what the argument they were making.

So the cites in the specification they were arguing were some kind of definition referring to a common arm. It didn't say anything about a single piece arm, which is what they have been arguing or a single piece across the board in terms of the claims that don't have a single piece.

And a common arm doesn't speak to how many pieces that the arm is constructed out of. So what we would say is that what they pointed to in the specification is consistent with Mass's construction, consistent with the overall construction of "arm," including the one that Judge Davis did for the '978 patent.

With respect to the -- the prosecution disclaimer or estoppel argument that they made, the Leveridge patent was distinguished on multiple grounds. When they were showing it up there it was distinguished because it didn't have bowed arms. It didn't have the rear display.

With respect to the language they had where they said Claim 62 has a single piece, it is distinguished from Leveridge, that was referring to what is now Claim 1. They are just parroting the claim language. They are not disclaiming anything. They are saying this is the language we put in Claim 1 to help distinguish over Leveridge. That

language is already in Claim 1, so there is nothing else that needs to be done there.

With respect to Claim 9, the other point that they pointed to was that the patentee said in Leveridge the arms are non-contiguous. And if the Court was to find any disclaimer, which we don't agree is there, that is as far as it could go because that is exactly what the patentee said.

So if the Court were to find disclaimer, then you could add a contiguous requirement. But that would be as far as you could go. Just because they argue disclaimer doesn't mean they can argue past what was said in the prosecution history.

Thank you, Your Honor.

THE COURT: Let me talk to you one more time about the first dispute. You-all have essentially agreed to, you know, the construction of -- in the '978 and the '103, you know: A structure having one or more constituent parts connected to and projecting from the support means or from the column.

One of you wants it together, one of you wants it apart. I'm not sure why we cannot agree on this, but give me your reasoning for wanting to have two separate constructions. Just for ease of reference for the jury or what?

MR. CHERNG: Oh, between the '978 and the '331?

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THE COURT: The '978 and the '103. You know, where it says: Projecting from the support means or projecting from the column. Everything else is essentially the same, I'm going back to the first part where we started, on right? arm. MR. CHERNG: Quickly, we are just not in the '103. THE COURT: Oh, sorry. I just called you back up there for nothing. MR. CHERNG: That's okay, Judge. Good morning, Judge. MR. HJORT: THE COURT: Good morning. MR. HJORT: SpaceCo is the only one on the '103. THE COURT: Okay. So, yes, it is for the ease of the MR. HJORT: We thought that, you know, it can be split out because jury. the two patents are separate. And even though they use some common terms like "arm" across them, it would be easier for the jury to understand that you have to find the support column or the support means in the '978 versus column in the 103. THE COURT: Okay. And, Plaintiffs, do you have any real problem with them being split out, or do you just think it is easier if they are together? MR. EDMONDS: We don't have a real problem with that, as long as the rest of it is correct, Your Honor.

1 THE COURT: All right. Very good. 2 Then let's move on to the next term. 3 Defendants, you get to pick and argue first, so what will it be? 4 5 (Pause in proceedings.) THE COURT: And, Defendants, do you-all have copies 6 7 of your slides, by any chance? 8 MR. THAMES: Yes, Your Honor, now we do. 9 THE COURT: Okay. 10 MR. HJORT: Good morning, Judge. We would like to 11 discuss Items 2 and 3 together because they are basically tied together. This is talking about the arm assembly 12 13 extending from the column or extendable from a retractable configuration to an extended configuration. And I think 14 15 that -- it will be easy to talk about that together. We have a couple of points on 2 with regards to arm 16 17 assembly having an arm that extends from a column. The first 18 point is that "arm" and "arm assembly" have to be two separate things since "arm" is defined as a subcomponent of 19 20 "arm assembly." 21 And how that ties into this whole thing is that it is SpaceCo's position -- again, the '103 is only asserted 22 23 against SpaceCo -- that the arm has to be able to telescope 24 because the patentee defined "extend" as telescoping in the '103 patent. 25

It is specifically -- you know, they talk about Figures 45 through 47 of that patent, which are the figures that we contend are what is claimed in that -- the embodiment that is claimed in that patent.

So, again, we point to the section of the specification as we produced in the brief, wherein the patentee says, you know, which enables the upper support arm to be extended; i.e., telescoped.

And it is our position that when you use the definitional language "i.e.," you are defining that term in the specification so that extended has to mean telescoped.

THE COURT: Why isn't that -- why is that not in your proposed construction for 2, but it is in there in 3?

MR. HJORT: Well, I think that -- I don't know. I thought that was in there.

THE COURT: Okay. I mean, the proposed construction I have for term 2 is: An arm assembly that has an arm that is capable of increasing or expanding in length when projecting from the column.

But then as to term 3 I have: Capable of telescoping outwardly from a surrounding channel.

So if you are making consistent arguments, I was just wondering why there is the disparity in the constructions.

MR. HJORT: Your Honor, I think there is an error

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in the brief actually --THE COURT: Okay. MR. HJORT: -- because in my copy of the brief we have the same definition for 2 as we do for 3. THE COURT: Okay. And which one is it? the second one capable --MR. HJORT: Capable of telescoping is our position; that "extends" always means telescoping. THE COURT: All right. And you are relying on this language from the '103 patent. I'm looking at Column 14, Line 40, which says: Enables the upper support arm to be extended; i.e., telescoped. MR. HJORT: That's exactly correct, yes. THE COURT: All right. MR. HJORT: And, again, you know, we also refer to the response to the office action, the prosecution history of the '103 patent. You know, the patentee told the USPTO that support for the amendments is found in Figures 44 through 49 in the portion of the specification describing those figures. Now, Mass points to the next sentence of that which is something about -- you know, a general boilerplate reference that is -- there is other parts of the specification that could be, you know, support for this. that is just a general -- a general statement that I think

the specific has to control over the general in the reference

to these figures and the way that these figures are described as being a telescoping function.

The other thing that I want to touch on is that hinging is separate from telescoping. And that is clear from the way the claim is written because it talks about the arms telescoping and then hinging. In fact, here you have in the -- that same part of the specification in the '103 patent at Column 14, you know, it talks about first extending and then hinging.

And also when the claim is set up, it talks about having a joint that allows the two portions to hinge. So if "extend," as they claim, means hinging in addition to telescoping, it would not be necessary to also reference that you have the joint, which allows hinging because that would be wrapped up in the definition of extendable from a retracted configuration to an extended configuration.

And the last point that I wanted to make was that, you know, with regard -- we are talking about Claim 4 and this claim differentiation argument that's -- Claim 4 would support Mass's construction of "extend," the point there is that you have to read Claim 4 in conjunction with Claim 3 which talks about there is -- there is an arm that extends from the column that has one end, and the arm assembly includes a second arm having the opposite end. So that is Claim 3.

Now, Claim 4 then says that the arm that extends from the column is adapted to telescope. So what you are talking about is Claim 4 is really just specifying which of the arms in the arm assembly is adapted to telescope, but it doesn't support the idea that telescoping doesn't mean extend.

So, again, we cited a case there to support that claim differentiation position.

So, again, that is our position is that telescope -- "extend" means telescoping, and that is our position.

THE COURT: Okay. Response?

MR. EDMONDS: Thank you, Your Honor.

Start us out on Slide 9, please.

So in the first instance, Your Honor, it is important for the Court to make a distinction between the word "extend" and the word "extendable." They are different words, and they can have different meanings. In here they are -- this juxtaposition to, I think is instructive.

What we are showing here on Slide 9 is Figure 4 where we have an arm that extends from the upright. That is the ordinary meaning of extends. Just like I said before, a peninsula can extend from the shore. It doesn't require it to be extendable. It is just something that extends.

So that is what relates in part to -- in Claim 1

where it says "extends," in the first instance limiting to telescoping would just -- would just exclude the ordinary meaning of "extends."

THE COURT: What about the argument that the patentee used definitional language over there in Column 14 to define "extends" to mean telescope?

MR. EDMONDS: Well, the argument is flawed. And what you need to do is -- the Court should read the entire sentence. And here what the patentee is doing is they are describing where lower support arm 186 comprises a tubular construction and upper support arm 188 with a neck portion which enables the lower support arm to be extended.

What they are describing there is that that tubular construction and the extendable part that that is where it enables that telescoping, but it is not -- it is not saying that that is the only way of extending. It is just saying that it is describing here this embodiment where because I have a tubular construction with this neck, this extending I am doing here is telescoping. That is all it is saying.

And I think you also need to read the specification as a whole. And, of course, the case law we cited -- the case law, frankly, they cited shows that, you know, even use of the word "i.e." if that was the only use of it, it wouldn't be proper to limit it unless that was -- there are other examples.

And what I would point you to in our -- including in our brief at Page 6, we have a lot of other examples of the use of that specific word in the spec. So in the '103 patent, Column 3, it shows -- it describes a display mounted on an arm extending from the computer. There is no telescoping that takes place there.

It is in '103, Column 3, Lines 39 through 41. They are all in Page 6 of the briefs. So -- and, you know, we quote it directly here.

In Column 9 it refers to neck 36 that extends from junction block 38. There is no telescoping there either.

And in Column 18 it refers to rollers 904 that extend beyond the outer upper surface 902a of the neck.

Again, that is not telescoping.

And then the other examples we have here in Column 18 and Column 19, there is no telescoping there that is using the word "extending." So there is just no -- the disclaimer has to be clear and unequivocal. And this is far from it.

In fact, the use of "i.e." was just talking about -- was pointing out these are what these structures do, they telescope. It wasn't saying this is the only way they telescope.

The other argument that I hear them making is that when the patentee referred to Figures 44 through 49, that somehow that was a disclaimer. But if you look at 44 through

49, that has a hinge that you use to extend the arm.

So the premise of their entire argument is flawed because Figure -- here we have Figure 47 and Figure 45 on Slide 11. These are the same figures that they are claiming shows some kind of disclaimer; but as you can see here, this shows both kinds of extendable function here where the hinge is being used to extend.

We have the retracting in Fig 47. We have the extending in Fig 45. And then also there is a telescopic structure that is shown there too.

So when the patentee is pointing the Examiner to those figures, they are showing them both types of extendibility.

I think the defendants raised this in their argument that there is the upper support arm to be extended; i.e., telescoped relative to the lower support arm. And then it says the upper support arm further includes a hinge. So are you saying, yeah, it also has a hinge which is another reason why this part should mean telescoping when we talk about extension?

MR. EDMONDS: No. I think they are reading too much into that sentence. When it says "i.e., telescoping," it is pointing out what that hollow structure and that neck do. I don't see where the patentee is saying that when I use

the word "extend," I am referring to telescope. There is nothing clear and unequivocal about that.

Yeah, in addition here we pointed to Figure 73 when it refers to the extent -- extended of centering member 504. So centering member 504 hinges downwards, as you can see -- we are in Slide 10. This is in Fig 72. It is hinged upward. And then in Fig 73 it is hinged downward. And they are using the word "extend."

So, again, the disclaimer has to be clear and unequivocal. Here we have the patentee expressly using extended where hinging is taking place, so I think that more than answers the arguments that they made on this.

So I would also point out that in our -- in our brief we point to that if -- you know, our primary position on this is that the word "extend" is easily understandable and needs no construction, and certainly it doesn't -- it could include telescoping. It can also include other things such as hinging, depending on its usage.

But then also we pointed out in our brief, and I would like to point out to the Court, that if the Court feels the need to construe it -- sometimes under 02Micro the Court feels the need to construe it because there seems to be a dispute here, then we put forth an alternate construction. It is in our reply brief, and it is: The distance between one end of the arm assembly and the opposite end can be

increased.

That is really -- when you look at the structure of that claim, that is what that is speaking to is that the distance between the ends of the arm assembly are increased.

And, in particular, if you look at the language of Claim 1, and this is in -- I think I can get back to Slide 16.

So the language -- as the Court knows, the language of the claims is often the best resource for resolving these disputes.

So when it talks about extended configuration, it expressly explains to us that the distance between one end and the opposite end is greater than the extended configuration and the retracted configuration. That would include hinging.

With the hinging embodiment that is shown in Figures 44 through 47, the distance between one end and the opposite end is greater in the extended condition than in the retracted configuration.

So we say, one, it is improper to read out -- I think the Federal Circuit has said rarely, if ever, correct to read out a preferred embodiment. But here we have the claim language teaching exactly what is required here, which would include hinging.

And, lastly, I point out we think -- we disagree

with their trying to dismiss Claim 4. Claim 4 is a real problem for their argument because the doctrine of claim differentiation even presumes we are correct on this because there is a further limitation here that the arm is adapted to telescope.

And if you took the defendant's construction, and you said "extends" means telescoped, then this would read where the arm that telescopes from the column is adapted to telescope, which I use the word nonsensical not in a light sense, but there are cases in the Federal Circuit that say that a construction that is nonsensical or superfluous would generally be presumptively incorrect. So that is what we have here. That would be incorrect.

So for all of those reasons -- and I don't think -- even their explanation for limiting it doesn't hold water, but it is also contradicted by multiple parts in the specification and language of the claims themselves.

Thank you, Your Honor.

THE COURT: Thank you.

Response?

MR. HJORT: I was just going to address a couple points from the plaintiffs.

Any reference in the specification outside of the discussion of Figures 44 through 49 is not relevant to the construction of this term because, obviously, the '103 patent

is a very big patent. It has got hundreds of figures in it.

And not all of those embodiments are going to be -- fall within the scope of this claim.

And they specifically said the ones that we are talking about are 44 through 49. And so if we are going to talk about -- I mean, the reference to Figure 70 or 72 is irrelevant to what they told the Patent Office that the claimed embodiment was 44 through 49.

Now, if that is accepted, then we are not trying to read out the preferred embodiment. This is the only embodiment we are talking about. And we note that the claim does allow for hinging in the -- further in the claim where it says a joint adapted to hinge. So that is included within, you know, the scope of the claim. It is just that "extending," which they define as telescoping, is what they are talking about in that particular part of the claim.

So once they have that -- again, the definitional language in there -- and we are not talking about a disclaimer or anything here. We are talking about that being a defined term in the specification. It is our position that that is what "extends" means, it means telescoping.

THE COURT: What about plaintiff's alternative proposed construction, or in the alternative if we need to construe it, what they suggested with: The distance between one end and the opposite end being greater in the extended

1 configuration? 2 MR. HJORT: I don't think that is correct. I think 3 we have to stick with the defined term in the specification 4 that --5 THE COURT: Why? 6 MR. HJORT: -- "extends" means telescope. 7 Well, because of the use of the term "i.e." 8 THE COURT: And you have while -- that says: The 9 use of the term "i.e." in listing one example is definitional? 10 11 MR. HJORT: Well, that is the only example we are talking about. 12 13 But my question was about the case THE COURT: No. 14 law. I mean, does the case law support that; that when the 15 inventor puts in parenthesis; i.e., and lists one example, that that is then definitional language. 16 17 MR. HJORT: Yes. The case that we cited Interval 18 Licensing v. AOL stands for the proposition that "i.e." is a 19 definitional term, yes. 20 THE COURT: Okay. 21 All right. Plaintiffs, what will be your next 22 term? 23 MR. EDMONDS: Thank you, Your Honor. I think what 24 makes logical sense next would be to move into the means-plus-function terms and start with "means for 25

adjusting." 1 2 THE COURT: Okay. MR. EDMONDS: And I am going to start us on Slide 3 4 18. 5 So I say we are starting with "means for adjusting." The place to start with "means for adjusting" is 6 7 to back up slightly and look at "mounting means." So "mounting means" was construed by Judge Davis in 8 9 the Ergotron case. In fact, it was construed three different times; it was construed once in his first Markman opinion and 10 11 then there were questions for clarification. Another party came in. And so there was a significant amount of judicial 12 13 attention to this term from a lot of parties in getting it 14 right. 15 This was the construction for "mounting means" that was determined. We say it is correct and, in fact, the 16 17 defendants agree with us that it is correct. This is an 18 agreed construction. 19 The reason I put up "mounting means" first is 20 because Judge Davis in Ergotron, we say, correctly construed 21 "mounting means" and then held that "means for adjusting" has 22 the same structures. So "mounting means" is kind of the

And consistent with that -- if I could go to Slide

starting point for these structures.

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The structures that are -- the parties agree on the function. THE COURT: Do you --MR. EDMONDS: I don't know. Maybe we don't. Ι don't know why they cropped theirs. They will have to explain why they did that. THE COURT: Why did you not crop yours? I mean, isn't that "thereby" language really more of the intended result than the language about the function? MR. EDMONDS: I think that it provides context for what adjusting the angular orientation means. In other words, you are adjusting -- when you saying adjusting the angular orientation, you could adjust it in different ways. But this -- this, I think, clarifies that the adjustment that is being done permits them to angle each other towards the desired degree. I don't know at the end of the day whether that makes any difference. It doesn't -- in my mind whether you accepted our stated function or their stated function, I don't think it changes the structure, which seems to be where the battle lines are really drawn. THE COURT: Okay. MR. EDMONDS: But I don't think there is any error in including that contextual language we have there to explain what the angular orientation -- what the adjustment

is.

And, in particular, so the -- I'm taking it to Slide 22 here, so it is -- hopefully, this does somewhat of a good job in calling out the structures here that are at issue.

So these structures here that are highlighted, are agreed upon. Everybody agrees that these are structures that are necessary for performing this function. We have these structures in Figure 9 and these structures in Figure 20. So the area of disagreement is the projections and slides, which is an area of disagreement in a couple of places.

So, as you can see, back to the proposed constructions on Slide 19, the defendants agree with all of our structures. We all agree on that. And, again, the only thing is whether you should additionally add projections and slides.

Again, I think the important thing to remember and what I was emphasizing to the Court is that the function has to do with angling these screens towards each other, which is shown on Claim 21. Or if I held my hands out in front of me, you know, like if I was a police officer telling someone to stop with both hands, if I angle them towards each other, that is the function that we are talking about, angling them towards each other.

So here on Slide 23 is where we have the slots that

we say that are not necessary to perform the function; that are not clearly linked to the function. Judge Davis -- that is how he held as well.

And I hope the Court can appreciate from how these structures look and how they work and also from the declaration of Mr. Moscovitch, so the way these tabs and slots work is in this preferred embodiment -- and Judge Davis pointed to this was a preferred embodiment that he held that it was not clearly linked to the function.

And the way they work is they actually inhibit or limit the ability of these screens to adjust for angular orientation. So, in our view, it is the polar opposite of the clearly linked structure. This is actually a structure that inhibits it.

And what I mentioned in our opening was that -- and as Mr. Moscovitch explained in his declaration -- if we milled off those projections 66, 68, we got rid of them totally, it would not impact the function, the claim function. It would still perform the claim function because it would just be less inhibited than it is now.

By the same token if you filled in those slots or if you removed the slots, if you will, then the -- it is going to perform the function. All of the structures that you need are there without the projections and slots; and that is why Judge Davis got it right; and that is why we

think that it should be the holding of this Court in terms of construing this.

THE COURT: Thank you.

Response?

MR. HJORT: Yes. Mass has accurately stated that the contention here is over the inclusion of the projections and the slots in this term.

So I think what is important to look at is the claim term that says: Displays the angle toward each other to a desired degree. And that is what the projections and the slots do is they limit the tilting to this desired -- they constrain the tilting, if you will, to a desired degree.

THE COURT: You are making that argument, but you don't even have that included in the function in your proposed thing, right? You cut that out.

MR. HJORT: Yes, that is true. But, you know, we recited a general function; but we think that the structure -- again, if you remove the projections, then it is simply a ball joint, which is well known in the prior art --

THE COURT: Which would still allow for adjusting the angular orientation. I mean, respond to the argument that if you take these slots and projections out, the structure can still perform the function.

MR. HJORT: Well, if you take the slots and the projections out, then there is no constraint on the rotation

of the ball joint. And then you won't define these desired degrees. You will be -- you could go to any degree. And it would be different than the way it is discussed in the patent that it talks about permitting, you know, rotations about these two perpendicular axes in a constrained manner.

It doesn't suggest that any ball joint would accomplish that. It just suggests that the vertical projections on the registered slots are what accomplish that function.

THE COURT: All right.

Response?

MR. EDMONDS: Well, I just didn't hear anything that was persuasive. I also add -- and I didn't know who was going to argue that, you know, I think it is somewhat telling -- and understand lawyers can make inconsistent arguments. I understand they think that is okay to do. If you look at Exhibit 10 to our brief, it is the petition for inter partes review that was filed by Counsel who just made that argument.

And in the IPR he and his client made the exact opposite argument. They said the projections and slots weren't required. So all I can say is that I think in the IPR when they were in front of the Patent Office and they realized that the Patent Office, you know, or Patent Examiners they got it right and they conceded that point and,

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you know, they seem to be trying to take another bite at this Their position is just incorrect. Judge Davis got it right. THE COURT: Okay. All right. What will be our next term? Defendants, you are up. (Pause in proceedings.) THE COURT: All right. Where are we headed, Defendants? MR. MOUNT: I'm sorry, Your Honor. I think we are going to do "position means." THE COURT: "Position means." All right. MR. MOUNT: Your Honor, in Claim 8 we believe that it is a means-plus-function claim. The use of the term "means" creates the presumption. It need not say "means for." There is case law that we cite that the dropping of the word "for" does not change the function. It is still a means-plus-function claim. The function -- when looking at a 112(6) it is very important to understand exactly what the function is. "Positioning means" allows the positioning of the rear portion at a plurality of distances from the support means to minimize the spacing. So this, again, is setting up our perspective, Your This is setting up discrete spacing structures, not

infinite spacing, which I think is the way other parties might want this read.

The structure, Your Honor, in our brief calls out the -- I'm sorry. The structure calls for a telescopic arm structure which positions at a number of distances.

And as we pointed out in our brief, actually, Your Honor, the structure that is identified in the patent is identified even by Mr. Moscovitch himself that the structure is as we have identified. It is a means-plus-function term, Figure 19 or hinged arm or telescoping arm -- I'm sorry -- the corresponding structure, in your opinion, would be Figure 19 or hinged arm or telescoping arm based on the note.

That is an admission by the inventor that that is, in fact, a characterization as long as this is read to be a 112(6) claim.

THE COURT: Is this one of those terms that Judge
Davis kind of squarely addressed and found it was not a
means-plus-function term?

MR. MOUNT: Your Honor, I think in the 112(6) analysis that he was not called upon to -- I'm sorry. I believe the 112(6) within a 112(6) suggestion was made; and that because of the -- again, let me back up for a second. I want to make sure I don't misstate myself.

(Pause in proceedings.)

MR. MOUNT: Bear with me.

1 (Pause in proceedings.) 2 THE COURT: You know what, we have been going a 3 little over an hour. I think it would be a good time to take 4 a break. And while we do that, if y'all would just regroup 5 6 and figure out -- I think we have just have a few terms 7 left -- so think about the order that we can most efficiently get through those after the break. 8 9 We will take a break for 15 minutes. (Recess was taken at this time.) 10 11 THE COURT: Please be seated. All right. 12 13 MR. MOUNT: Thank you, Your Honor, for the break. Actually, I think my remarks will be a little bit more 14 15 focused and I will start afresh. I want to address what we identify as Claim 7, 16 17 "positioning means for positioning displays." "Position 18 means comprising," and then get into various sub-112(6) 19 parts, and this is the point where we take exception to Judge 20 Davis's approach, Your Honor. 21 And I have always -- as a great respecter of 22 judges, I am always cautious when I say a judge made a 23 mistake. I think it is often better to say things weren't

brought to a judge's attention or arguments weren't made or

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things weren't made clear.

What Judge Davis did not identify as a 112(6) claim and interpret this as with an apparatus for positioning.

Now, if someone were to -- we get back -- when you say an apparatus for positioning, that is not a definite claim. It is not a clear claim. We go back to the basis of 112(6).

Johnson v. IVAC is a good case, Your Honor, that basically stands for -- helps recite the understanding of how the 112(6) claims have to be interpreted.

If you were to say "any means for positioning," you would encompass every means for positioning. Holding it with your hand, holding it with your foot, holding it with tape.

It is not any means for positioning, Your Honor. It has to be the means that are disclosed.

And so in the 112(6) analysis I think it got a bit confusing, perhaps, in the earlier briefing or earlier arguments, but the function of positioning is a function recited, and there are various other functions that are further 112(6) claims.

But when one says merely an apparatus for positioning or a means for positioning, you are creating an indefinite claim. This is not the preferred method. Again, this 112(6) claim does start out positioning means invoking 112(6), and it should be read that way.

And another thing that I don't think was pointed

out in the briefing before Judge Davis before is the Trimed case. We cite the Trimed case. It is a Federal Circuit opinion. I think it is in our papers.

But what Trimed makes it clear is that there must be sufficient structure that -- structure is described in the claim language if it specifies the exact structure that performs the functions in question without the need to resort to other portions of the specification.

You don't get to go to the specification to find limitations or structure. You have to look -- to get out of the 112(6) strictures, you must find structure in the claim language itself.

In the example of Trimed, there was structure recited. They go on and discuss that the claim articulated the structure for performing the function in Trimed; and that the structure recited with certain holes, certain holes defined how pins and plates moved. And that -- because that structure was recited in the claims itself, Trimed says, yes, 112(6) restrictions are no longer applicable.

But we ought not have a claim construction that

Mass proposes, Your Honor, because Mass even suggests in some

of their reply brief, they are saying a jury will know what

these vague terms mean. But a jury is not left to be free to

identify any means for positioning, any structure for

positioning.

As 112(6) requires, they only get the means they disclosed and their equivalents.

And the means for positioning, Your Honor, include various sub-112(6) elements. A sub-112(6) element is also recognized in the St. Clair case. St. Clair is a district-level opinion but identifies that you can have a 112(6) claim which has subordinate 112(6) claims within it.

And what happens here is positioning means -- you have positioning means comprising an arm assembly and then a support means, a mounting means, and a means for adjusting the angular orientation.

So those are sub -- those are subparts of the positioning means, all, again, involving 112(6) analysis. There is not even a dispute that -- I believe mounting means has been discussed as a 112(6) claim. Means for adjusting angular orientation, again, 112(6) feature. And because they are subparts of the mounting means -- or positioning means, rather, it doesn't make positioning means ungoverned by 112(6). You still have to get to the 112(6) Johnson/IVAC, only the positioning means that are disclosed and their equivalents.

THE COURT: Doesn't the positioning means disclose -- I mean, isn't there sufficient structure right there in the claim even though some of that structure is means-plus-function terms?

MR. MOUNT: Well, actually not. The function is to position. How do you position this? You can't just say any means. That is where, I think, Judge Davis got it wrong. It is not just any means for positioning.

And they even say it is a 112 positioning means, so let's focus on positioning. There is a support arm. That is a structure that is identified. But the support arm doesn't tell the story. And that is what the Trimed case says. You really have to -- you have to structure in the claims themselves that show how to perform the function to get out of the 112(6) strictures.

So, again, they would have to say, they would have to persuade you, Your Honor, that all that is needed to position these -- these displays is an arm assembly; but that is not what -- that is not what the claims mean.

To position this includes the support means, the mounting means, and the means for adjusting, each which identifies the structure concerning the function that is necessary. And there is not structure that they can point to.

And I don't think -- I'm not sure anyone brought this to Judge Davis's perspective. What structure is performing the function of positioning? If the Judge says a structure for positioning, that is just -- I think that is missing the question. It is not really answering the

question.

It is -- a structure for positioning does nothing but describe this in functional terms. It is anything that positions. A structure that positions would be anything that positions. Now, that is not what 112(6) allows. The patent -- it would be an indefinite claim because you could position things in a hundred thousand different ways.

And 112(6) says unless -- what Trimed says is that unless the claims themselves -- that claim itself gives adequate structure to perform exactly the function that is called for, that is a mistake.

THE COURT: Are you saying it is improper to -- you know, we keep say there is a positioning means comprising, the arm assembly, the support means, the mounting means. And even though as to the supporting means or mounting means we may have to do the 112(6) analysis, doesn't that kind of implicitly get brought up then within the positioning means, and it is necessarily sufficient structure?

MR. MOUNT: Well, it is not sufficient structure.

If it were sufficient structure, it would take it out of

112(6).

THE COURT: Yeah.

MR. MOUNT: 112(6) still applies and you have to read the positioning means to include only those structures that are taught in the patent to perform all of the

subordinate steps.

So positioning means then includes these additional limitations because the positioning means requires the -- requires that the structures be looked to, and those structures are only those structures that are in the teaching.

THE COURT: Okay. Thank you.

Response.

MR. EDMONDS: So, again, we harken back to Judge Davis's analysis of this.

And if you could go to Slide 32.

About what the Court just said in terms of questioning in terms isn't the sufficient structure there, but this kind of new argument I am hearing is based on Trimed, and I think this reading of Trimed. And Trimed stands for an unremarkable proposition that the holes that were there were enough to have take it out of means-plus-function because they were there.

But Trimed doesn't hold that you can't have a nesting of a means-plus-function element in another element; and that if you do somehow what it is nested in becomes a means-plus-function itself.

Trimed is just not speaking to that issue at all.

I think that language is just taken out of context. I think
the cases that Judge Davis relied on were the British Telecom

case and the Lighting World case are the ones that are on point.

And I look at their proposed construction and, you know, I haven't heard a sufficient answer to this to the Court's question. When you look at what they propose, the structures they propose that would satisfy their view of this being a 112(6) or 112(f), they point to the same structures that Judge Davis pointed to and that the Court just read off as being the -- as being sufficient structure.

So, you know, we -- this was -- this was done correctly before. It has been done correctly now. And I guess the Court -- one might kind of scratch their heads and say wait a minute if their construction is -- for positioning means is the same -- essentially, the same, they are just parroting the language, what is going on here? Why are we taking up the Court's time with this?

And what I would suggest is that the agenda behind this is, later on when Counsel started arguing, argued, he actually started with what the real issue is, which is this positioning means allows positioning, and they are trying to morph that into a means-plus-function. And in order to do that, they need to make positioning means -- they need to somehow morph that into a means-plus-function.

I think that is probably what we should discuss next in the proper context. I don't know what else to say

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about positioning means. Judge Davis got it right, and the Court's comments today were right on point. THE COURT: Okay. Well, let's go right into that term, No. 8, the "positioning means allows positioning." MR. EDMONDS: I'd like them to argue first on it --THE COURT: Okay. MR. EDMONDS: -- because it is kind of their issue. THE COURT: Okay. Thank you. MR. MOUNT: Your Honor, I'd just briefly reply to the issue of where -- the 112(6) limitation does still apply, and the Davis -- the error by Judge Davis really is a matter of taking it out of 112(6) without finding the structure for the positioning. The positioning means is a means-plus-function claim, and it ought not be taken out of and one cannot ignore that. And the structure is part of the structure -- I'm The functions are the functions identified in the sorry. subordinate 112(6) claims. And the structure that is found in the spec tying to those is necessary to a reading of the positioning means, but that is -- that does involve those subordinate 112(6) claims.

THE COURT: I mean, the structure -- the structure that you point to on Term No. 7 is an arm assembly, a support means, a mounting means.

MR. MOUNT: Yes. But let me try to be clear. A

mounting means is not in and of itself a structure. And what Trimed says is you must look at the claim language itself to find the structure if you want to get out of 112(6).

THE COURT: So are you necessarily saying that because part of the structure is a means-plus-function term, then necessarily the parent part of the claim must be means-plus-function? I mean, is that the law?

MR. MOUNT: That is not why. That is not why.

Any -- if you recite a claim in terms of a function, and a positioning means and you say, okay, there is some -- I have taught various means of positioning; and because I have used this 112(6) shorthand, I get only a certain subset of positioning. I don't get any apparatus that positions. I only get apparatuses that position that meet all of these additional limitations.

And what I think Mass wants to suggest is that we are completely removed from the 112(6) structures, and any positioning means would meet the 112(6) strictures. And that is not correct. It is not any positioning means. That is where Judge Davis got it wrong. It is not any positioning means. It is positioning means under 112(6), the positioning means that are disclosed in the spec with all of the subordinate 112 issues included.

THE COURT: Okay.

MR. MOUNT: And then the next, Claim 8 adds further

limitations with respect to positioning means. It must also allow positioning of the rear portion of a -- or excuse me, of the rear portion at a plurality of distances from the support means. And that adds a further -- that is simply a further limitation to what the positioning means -- again, the 112(6) claim, some of the sub-elements are 112(6). This specifically identifies other functional limitations on what does that positioning means do? It has (a) (b) and (c) 112(6) subs and also another function of positioning means must achieve is that it allows the positioning of the rear portion at a plurality of distances, which we take to mean, again, it is a 112(6) claim and it is positioned in defined -- in defined spacing as the structure that is taught in the claims teaches.

But, again, it is a 112(6) limitation. They are tied to what they -- the structures that they have taught -- I'm sorry -- to the means that they have taught in the claims.

THE COURT: Response?

MR. EDMONDS: So Start on Slide 36.

So that is -- that is what seems to be at the root of this, what we say is kind of the extreme position of taking on positioning means; and that they are trying to -- if positioning means now becomes means-plus-function, their argument is that now in Claims 18 and 27, that this

language is now functional language and, therefore, you are limited to certain structures.

What we point to is positioning means -- the antecedent basis for positioning means is the positioning means that the Court should define, as Judge Davis did, which is an apparatus used to position displays.

If you define it that way, then this inquiry should be answered because their -- their contention that this falls under 112(6), 112(f) now is confusing to everybody. It is just simply -- it is just simply erroneous.

So that -- we don't see how -- first of all, it is not a means-plus-function term.

Secondly, we don't see how this -- this language here in Claims 18 and 27 somehow converts something that wasn't, into a means-plus-function term. It just doesn't do that. They haven't cited any case law that is on point that it would do that.

And, secondly, we take issue -- and I think that should really be the end of the inquiry if this is done in what we say is a correct manner. But since we are trying to cover all of our bases here, we should point out that the structures that they have -- they have structures there that would -- even if you accepted this as a function -- which would be erroneous in our respectful view, those structures -- we disagree deal with the telescopic arm.

If this was defined as a function, yes, that would be one means for doing it. If this was erroneously defined as a function, then the only structure that you --- that would be in that second clause would be the sockets 202 and 204 as we set forth in our brief.

what is taking place here, is Slide 28. So this is talking about connector means, but it is a good illustration here of this particular embodiment. So this embodiment is not a telescoping embodiment. This embodiment is where you can move the display from socket 202 to 204. And in doing so, you would perform the function as -- what they are calling a function which we say is not a function.

So -- but that is what you -- if you were going to define it that way, that is what you would do. So they say it allows positioning of the rear of a plurality of distances from -- to minimize spacing. So in this case to minimize the spacing what you do is go from 204 to 202.

But, again, we don't think that inquiry is necessary because you shouldn't get there. The other structures they have in here when they talk about these plugs and these other apparatuses as part of the arm and everything, they are trying to draw a bunch of other structures in there that wouldn't be necessary to performing what they have defined as a function.

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THE COURT: Okay. All right. Let's move on to the next term. Plaintiff, I will let you pick that one. MR. EDMONDS: Per the Court's instructions, we went over what we would do next and how we would finish this. So we are going to do the "mounting means permits" and then "connector means" and then we will finish with "base." That will be the last for the Court. THE COURT: Okay. MR. EDMONDS: So I am going to Slide 18 of our PowerPoint for the Court. So the first part is the Court has already -- Judge Davis correctly construed "mounting means." The parties have agreed that that is a correct construction. So now we move to Slide 24, and the issue is the mounting means permits the one display to assume a first angular position and a second angular position. The defendants have this contention that this additional language converts this into a new means-plus-function claim in which you have to now define a new function and which you now have to find new structures. And we don't see any legal support for that or a logical support that. This is -- this language is -- the antecedent basis is the mounting means that has already been defined, that has already been agreed upon.

And even if you accept it as a function permitting one display to assume the first angular position and a second angular position, the structures that are already in the agreed construction -- we will just call it the correct construction of "mounting means." I don't want to be presumptuous; but since Judge Davis construed it that way and everybody seems to agree, I will just call it the correct construction.

The construction in there -- the construction for "mounting means" already permit a display to assume a first angular and second angular position.

So the way I would have the Court envision this is you could have a display go from portrait to landscape. So I could tilt it on its axis there. That would be a way of doing that. And so what permits you to do that in these structures would be the ball and socket. With the ball and socket, I can turn the display from one orientation to another one.

What the defendants are pointing to are now we have -- we get into tabs and stops. So this is essentially a similar issue that we are looking at with the projections and slots, so you can see the tabs and slots here on Slide 26.

And what these tabs and slots do is they limit your -- the position that you can do on this particular

embodiment. So they would limit it at, for example, a 90 -- or a right angle, if you will.

And, again, the case law we cited is -- we think it is clear, and it is the same reason that Judge Davis employed the perspective of projections and the slots in the adjusting means and the mounting means in the Ergotron case and as addressed in Mr. Moscovitch's declaration. These limiting structures are not structures clearly linked to a function because they run contrary to it.

I could remove the stops and I could do away with the tabs and it would perform the function, if you would even call this a function.

So they -- where we come out on this is that this clause here that is at issue, the mounting means permits the one display to assume a first angular and second angular position, does not itself become a separate means-plus-function clause; and nobody seems this permits first display to assume a first angular or second angular, nobody is arguing that is ambiguous, so that is just ordinary meaning.

And even if the Court was to hold -- we would, respectfully, say erroneously hold that it is -- this somehow became a new means-plus-function claim, there are no new structures needed because they are already there.

And what you don't want to do is add in structure.

What they are trying to do don't belong because they inhibit the function.

Thank you, Your Honor.

THE COURT: Thank you.

Response?

MR. HJORT: I have a few points in response to that. One is, I believe "permits one display to assume a first angular position and a second angular position" is a function. So "mounting means" a means-plus-function term. We have already agreed to that.

So now we are adding a new function that the mounting means has to perform. And there is no structure disclosed in this claim, so it is a 112(6) term again.

And I guess another point to point out is that the patentee has defined what the first angular position and the second angular position are. Because in getting these claims allowed -- if the Court will recall this patent was subject to reexamination back in 2010. And this Claim 18 and 27 where these terms are included, were added during reexam.

And so as was reproduced in the brief, the patentee told the Patent Office that the display 16 in Figure 4 is in a first angular position and the same display 16 has a second angular position relative to the arm in Figure 6. So we reproduced those figures in the brief. You can see that.

Again, as Mr. Edmonds said, I think in Figure 4 in

portrait -- or they are arranged horizontally and then in Figure 6 they are arranged vertically.

So those are the two defined angular positions. So what defines those angular positions is the stops and the tabs because they prevent the rotation past those angular positions.

So if you don't have the stops and the tabs, then the angular positions won't be defined. And so if you don't have the stops and the tabs, the monitors could spin freely and -- you know, 360 degrees without having those two defined positions. So that is why we take the position that the stops and the tabs need to be the included structure that performs this function.

THE COURT: Any final word on that?

MR. EDMONDS: Just briefly. I don't know whether giving the argument that they would spin freely, there is no teaching of that in the specification. What they are fundamentally saying is that if you stop it at a certain point, then that helps me orient it. It stops it from orienting it past landscape.

But that's -- again, the function is positioning
the -- or excuse me. The "mounting means" is the functional
language that has already been defined. And all we have here
is for maybe to assume a first angular, second angular
position.

There is nothing they can say that it -- the -that the structures there don't do that. They do permit
that. The structures they are talking about are inhibiting
structures; and that is just -- the idea that somehow it
would spin around like a ferris wheel or something, it is
not -- it is not -- it is something the first time I have
heard today. It doesn't make any sense and is not consistent
with the logic or with the claim language.

So there was -- the statement they are talking about in the prosecution, it still doesn't support their argument. There is no -- they seem to pluck statements out and say, well, every statement they make must be some kind of disclaimer. It is just not. They provided no context for it.

The patentee is pointing to -- when you make amendments you have to point to support in the spec to tell the Examiner that you are not just adding -- throwing things in the patent anew.

And every time you point to something in the spec doesn't mean that you are defining or limiting something in some manner. This is the same issue with projections and the slots. It is just improper to identify them to -- to what -- limiting structures into the claims where they don't belong.

Thank you, Your Honor.

THE COURT: All right.

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So next we have the "connector means." MR. EDMONDS: We went first last time. They are welcome to go first, or I am happy to go first on "connector means." MR. MOUNT: I believe Gene is going to take it. MR. CHERNG: So I think the parties are in agreement here that "connector means for connecting one of the displays to the arm at positions spaced along the arm," should be construed under 112(f) and 112(6). There is a difference in what we contend is the function. The plaintiff's position is that the function is connecting one of the displays to the arm at different, fixed positions spaced along the arm. Mass's function is connecting one of the displays to the arm at positions spaced along the arm whereby the spacing between the displays can be adjusted. And I think as Your Honor pointed out earlier, that is just pointing out the intended results of connecting one of the displays to the automatic positions spaced along the arm. And so it is not properly included within the claimed function. Moving on to the structure, the defendant's

position is that -- with the plaintiff's position is socket

equivalents. And within -- if you look at the '978 patent,

202, socket 204, the connector 166, bolt 200, plus

the connector 166 really is not limited to just that truncated plug that is depicted there.

In the specification the '978 patent blows up -Figure 20 as an entire blowup of 166, which includes much
more than the plug. It is the entire -- the plug, the ball,
and the shaft, the slot protrusions, the rear display, and
screws and mounts and other things like that.

Mass's proposed structure is the same as for the "mounting means" but not including the one socket on one side, 198 -- including both sockets 202 and 204, which I think we are agreement on, plus equivalents.

Now, what we have one issue with is within the construction of "mounting means" also includes ball 56, shaft 58, socket 60, hole 72, tabs 80 and 82; basically structures from a different embodiment that were not clearly linked to this function of connecting displays at positions spaced along the arm. That one embodiment really was more disclosed -- that "mounting means" was more for the orienting of the first embodiment there in the '978 patent.

So as you can see here, Your Honor, it says ball 56, shaft 58, socket 60, hole 72, tabs 80, they are all mounted to the telescopic arm 18. And the issue with that is a telescopic arm is diametrically opposed to connecting displays at positions spaced along the arm.

Where you have a telescopic arm, you have a display

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fixed relative to the arm, and the arm goes in and out, versus connecting the displays at positions spaced along the arm, you would have to move the display from one socket to the other. And so that structure there that is included in the definition of "mounting means" should not be included in the structure for "connector means." So for the same reason telescopic arm 18. THE COURT: Okay. Thank you. MR. CHERNG: Thank you. THE COURT: Response? MR. EDMONDS: So I can start at Slide 27, Your Honor. So part of the disconnect is that the plaintiff, as you can see the way this disputed term is written, it is the "mounting means comprises connector means"; and so part of the disconnect between the parties is that the defendants seem to want to ignore that important language in understanding the context of what the "connector means" is. So that is why we have the same as for the "mounting means" because it means -- the full phrase is "the mounting means comprises." In other words, it includes but

And so the Court already has "mounting means." We have already agreed on that, and -- if the Court accepts that

not limited to something else.

as Judge Davis's instruction.

So the real question is what is the something else that is the connector means that is not already there from the mounting means.

And to understand it, the mounting means is getting at what you are using to mount the display. So these are the structures here. It has got the ball and the socket and the shaft. And everybody agrees on that. And the rear of the display.

This -- part of this, and the defendants kind of glossed over, oh, yeah, 166 has a bunch of stuff in it. It is all there. What they are glossing over is that this is another attempt to back-door the slots back in because their -- their reading of 166 is that that would include the slots.

So the Court -- the Court might exclude the slots just like Judge Davis did for "adjusting means" and "mounting means" and then inadvertently bring them back in by accepting their construction and not realizing that they -- really the end game there is to include the slots.

But when you get back to the one that we are specifically dealing with, the "connector means," so the question is what is the -- what is the additional function that is happening here?

The defendants criticized ours because we have the

whereby clause on there. They didn't point out to the Court that they have changed the language from what is in the claim in theirs.

So where the claim says "connecting one of the displays to the arm at positions spaced along the arm and whereby spacing," but in place of "connecting at positions spaced along the arm," they have now -- they have changed the function from what is unambiguously stated in the claim to "connecting a predetermined fixed position." That function is just incorrect. There is no support for that. It is a sleight of hand. I don't know how else to characterize it.

But the Court -- hopefully, the Court appreciates that that is not a correct statement of the function. It is just simply at odds with the claim language. It is simply another unabashed attempt to read limitations in the claim that aren't there.

With respect to the structures then, when we look at, okay, the mounting means is here, what is in addition to the mounting means, it is just connecting the displays to positions spaced along the arm.

So the plaintiff has appropriately focused on these two structures, which are the sockets; and that's -- those are the structures that are linked to this function, this additional function that is performed by what the mounting comprises the connector means where you are spacing -- where

the spacing can be adjusted because you can adjust between 202 and 204.

And I don't -- it is redundant for them to try to add in connector 166 because the ball and socket are already part of the mounting means. The only reason why they are adding that in because they are hoping that they can back-door a slot and hope that the Court won't notice. That is the only way I can really say that.

And so if you -- you know, if the Court properly rejects the slots, then their construction should be rejected, and their construction should also be rejected because their -- it is what it is -- it is what the mounting means comprises and "connecting means" means. Just focus on the additional structures that already -- that aren't already in the mounting means that perform this connecting function, which is exactly what we said.

So I think where there can be some confusion is the word "connector" is used in the spec; and so I think part of their simplistic argument is, well, the word "connected" with the word "connect," so we will just tie those two together.

You have to understand how these claims are constructed and what this language means.

The function, as stated in "connecting" is, connecting at positions along the arm. So there is no need to focus -- what was called connector 166 is getting back to

ball and socket, and so we need to just keep those things straight. And then when you focus on the function, you say, okay, what else -- what else do I need to perform the function? It is just those two structures we have there, 202 and 204.

THE COURT: Thank you.

Any final word on that? Do you want to respond?

MR. CHERNG: Sure. Just a couple of things. I'm

not sure if there is any disagreement about my point about

the specific structures corresponding to a telescopic arm.

Plaintiff's Counsel's arguments did seem focused on, yes, the function is connecting the display at positions spaced along the arm, so the argument was that you remove the display out of one socket and place it back into another socket and that would be the function here versus what was in the first half of that mounting means structure was the structure as part of the telescopic arm, which we contend should not be part of the "connector means." I'm not sure if there is any disagreement there, but I think that is worth clarifying.

THE COURT: What about the statement that your function is not what comes directly from the claims? You have changed it a little bit. Why did you do that?

MR. CHERNG: Yes, Your Honor. Thank you for reminding me.

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So, yes, our structure -- I'm sorry. I apologize. Our function is different from the claim language, but it is taken from the disclosed structures that are -- I don't think are disputed between the different constructions, that is, the sockets 202 and 204. As Mass acknowledged sockets 202 and 204 are discrete positions that you have to move the displays out of one socket and into another. And so that is -- that was why we included that into our proposed construction. MR. EDMONDS: May I -- oh, when he is done -- I'm sorry --THE COURT: Respond to that. MR. EDMONDS: -- may I speak to that one? THE COURT: Yeah. MR. CHERNG: I will concede the floor. THE COURT: Don't go far. It is clear to me what is going on MR. EDMONDS: here. You know, when you do an analysis of 112(f) you have to have the same function, and then you get equivalents of the structures that correspond to the function. So what they are trying to do is this -- it is just not supported by the law; but the idea that they had was, well, we will just define the function in terms of the

structures here. And, therefore, even though they might get

equivalents for the structures, they are not going to get

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MR. CHERNG:

Yes.

equivalents for the structure -- for the function, so we will limit them, essentially, to the same that is disclosed. is just not proper -- it is not a proper way to do means-plus-function terms. You should define the function for what it is, and then you look to the structures that correspond to that; and then when we get on to infringement or validity or whatever, we can talk about what is equivalent or what is not. THE COURT: Okay. Respond to that. So, yes, the question about literal infringement under 112(f) being the structure is disclosed as well as structural equivalents as opposed to the doctrine of equivalents, is a separate inquiry. That is a separate issue from the one that is disclosed in the specific -- in the patent. Again, the specification really only talks about --THE COURT: But you are supposed to get the function from the claims. MR. CHERNG: Right. Right. THE COURT: So you changed that. MR. CHERNG: Yes, we did. THE COURT: Are you okay with this function language that does come from the claims: Connecting one of the displays to the arm at positions spaced along the arm?

I think we are generally okay

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with that, but we would exclude the whereby clause though. THE COURT: Okay. All right. Thank you. MR. EDMONDS: The last term for the Court is "base." THE COURT: Thank you. MR. SCHLATHER: All right. So the last term is "base"; and as the Court can see, the parties largely agree on this term. The portion that we agree on is that the base is the lowermost portion of the system that supports the arm assembly. Where we differ is that Mass proposes that the arm assembly be supported above a work surface. Defendants have a broader construction: Wherein the base supports the arm assembly above a surface. THE COURT: That seems kind of backwards to me, you, the plaintiff, wanting a narrower construction. What is a work surface? Am I going to have to construe that next? MR. SCHLATHER: No, because you can look at the -at the specification -- well, if we look at the file history first. When Mass added this term to the claim, it specifically defined what the base does. And it said that this component is used to support the arm assembly above a work surface. This isn't about a particular embodiment. This goes to the nature of the claimed invention. The base supports the arm assembly above

a work surface.

And to your -- to your point, this is consistent with what Mass has said in the specification where we talk about the purpose of the invention is to support monitors where there is limited desk space, to basically conserve space on a desk or a table or a similar work surface.

So what I think it is important to focus on here is, for "base," is we are not talking about where the base itself rests. We are talking about where the base supports the arm -- the support arm -- or the arm assembly, and Mass made it very clear in the file history that the base supports the arm assembly above a work surface. Not above a surface in general, but specifically above a work surface.

Thank you.

THE COURT: What is a work surface?

MR. SCHLATHER: So if we look at the specification it talks about a desk -- specifically about a desk, about a table. So it is surfaces of that nature. It is not any surface. But the purpose of the invention as a whole is to conserve desk space.

So where in -- if you have two monitors sitting on your desk each with its own separate base, you take up 2x amount of space if you put them on an apparatus as is claimed here. You now have a single base supporting two screens above that work surface, so you conserved what in some cases

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can be very limited desk space. THE COURT: Okay. Thank you. Response? MR. HJORT: The defendant's position on this term is just that Judge Davis correctly construed this in the past as just a surface and not a work surface. Was that issue argued in the past? THE COURT: MR. HJORT: It was in the original Ergotron case. They argued work --THE COURT: I think that -- or maybe they did it in MR. HJORT: the Humanscale case. I don't remember which one, but it has been argued before. THE COURT: Okay. MR. HJORT: If you refer to the section of the specification they cite, it talks about the stated work surface is the desk, tabletop, or other surface for office or business use. I think -- I submit to you that another surface for an office or business use doesn't have to be a work surface. I can tell you that SpaceCo has a bar in their That is an office surface but not one for a work office. surface. So to suggest that it has to be limited to a work surface, I think, is incorrect. I think Judge Davis had it correct before.

I think the other -- the last point I want to touch on briefly is that -- and it has been brought up a couple of times that Mass has said that at the IPR that SpaceCo filed, we adopted this construction. And that is true.

However, I specifically stated in the IPR that we weren't being bound by Mass's constructions in future litigation. During this IPR there are separate proceedings, and they have separate standards of review.

And also, you know, the point to bring Mass's constructions into the Patent Office is to show the Patent Office what is -- you know, the breadth of claim scope that they think they are entitled to and then see if that is actually patentable over the prior art that exists. So to suggest that we have adopted this construction, is incorrect.

MR. SCHLATHER: Your Honor, if I may?

THE COURT: Sure.

MR. SCHLATHER: A couple of quick points. I don't think it is quite as clear-cut as defendants have alluded in terms of Judge Davis has previously decided this. This term was construed in a separate case, the Humanscale case by Judge Hilton in Virginia.

And in that case he did construe the term to include work surface. That is at Mass's opening brief, Exhibit 9 at Page 2. So it may not be quite as clear as they have suggested.

The other issue is -- and Mr. Hjort spoke to it a little bit -- they have taken inconsistent positions. In the IPR proceedings they say that work surface -- the inclusion of work surface is the appropriate construction. Here they are taking a broader view that any surface would suffice.

And, you know, the explanation that we have different standards, just isn't convincing. They have represented to the Patent Office that the inclusion of work surface is the proper construction, but now they are taking a different view. The file history makes very clear that the base supports the arm assembly above the work surface.

THE COURT: Thank you.

All right. Thank you. Okay. That was our last term, right? Thank you both -- all of you very much for your arguments. The Court will try to get you opinion as quickly as I can.

If there is nothing further, we will be adjourned. (Hearing adjourned.)

CERTIFICATION

I HEREBY CERTIFY that the foregoing is a true and correct transcript from the stenographic notes of the proceedings in the above-entitled matter to the best of my ability.

/s/ Shea Sloan
SHEA SLOAN, CSR, RPR
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